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Terri S. Flynn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 10/631,239
Applicants: Gregory W. Smiley, *et al.*
Filed: July 31, 2003
Title: MATERIAL HANDLING VEHICLE WITH DUAL CONTROL HANDLE
SYSTEM
TC/A.U.: 3616
Examiner: David R. Dunn
Docket No.: 780139.00019

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ A list of documents on form PTO-1449 together with copies of all identified foreign patent documents and non-patent literature;
- ☐ A translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 37 CFR 1.97(b) (within 3 months of filing or prior to mailing of 1st Office Action)
- ☒ 37 CFR 1.97(c) (before Final Office Action or Notice of Allowance); and
 - ☐ The required certification made under the heading "Certification" below; or
 - ☒ A check for the \$180 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is submitted herewith. Payment for additional fees is authorized under the heading "Authorization to Charge Fees" below.

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- ☐ 37 CFR 1.97(d) (before issue fee payment); and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$_____ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees". (Direct this letter to "Attention Petitions Examiner" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and
- b) The required Certification is stated under the heading "Certification" below.

☐ Certification

- ☐ Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ No item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ Authorization to Charge Fees

Please charge any additional fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 17-0055.

Respectfully submitted,

Gregory W. Smiley, *et al.*

Dated Jan. 2, 2007

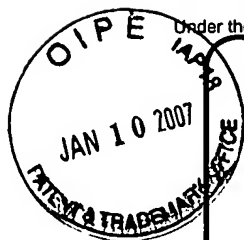
By: 

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Approved for use through 10/31/2002.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/631,239
Filing Date	July 31, 2003
First Named Inventor	Gregory W. Smiley
Group Art Unit	3616
Examiner Name	David R. Dunn
Attorney Docket Number	780139.00019

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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<p>Substitute for form 1449B/PTO</p> <h2 style="margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="margin: 0;">(use as many sheets as necessary)</p>				Complete if Known	
		Application Number	10/631,239		
		Filing Date	July 31, 2003		
		First Named Inventor	Gregory W. Smiley		
		Group Art Unit	3616		
		Examiner Name	David R. Dunn		
		Attorney Docket Number	780139.00019		
Sheet	2	of	2		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Modern Materials Handling, February 1992 issue, pg. 43, Reed Elsevier Group PLC, New York, New York.	
		BT Industries AB, product information disclosure, 3 pages, "BT REFLEX Compact Reach Truck with Narrow Chassis," Mjölby, Sweden.	

Examiner Signature		Date Considered	
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